

REMARKS

Claims 1-3, 5-7, 9-14, 17-20 and 25-31 are pending in this application. Claims 4 and 8 have been newly cancelled. Claims 1, 3, 5, 7, 9, 10, 12, 14, 17, 18 and 19 have been amended. Claim 31 is newly added. Claims 1, 10 and 25 are independent.

In the Office Action, applicant was requested to respond more fully to the indefiniteness rejection for the terms set forth in the left column of the following table. The middle column indicates the apparently pertinent claims, and the right column indicates the claim amendments directed to the indefiniteness rejection.

| claim term | claims | applicant's response |
|-----------------------------------|-----------------|---|
| automatically supporting | 1, 7, 10 | The words “automatically” and “supporting” no longer appear in the claims. Instead, the claims recite execution on a computer. |
| a set of trading processes | 1 (most likely) | Claim 1 recites “each trading process being a computer program operating according to a respective trading methodology and being configured with parameters selected by a user of the trading process”; this is believed to be definite. |
| in accordance with a relationship | 3, 12 | The limitations of claim 4 have been included in claim 3, and claim 4 has been cancelled. Claim 3 recites “in accordance with a relationship represented by a code defined between the trading process and the market”; this is believed to be definite. Claim 12 is similar. |

| claim term | claims | applicant's response |
|-----------------------------------|--------|---|
| informal market | 5, 18 | Claim 5 recites “an informal market provided by a liquidity provider not subject to regulation by a government securities regulation agency”; this is believed to be definite. Claim 18 is similar. |
| external to the trading processes | 7 | Claim 7 now recites “data-providing processes other than the trading processes”; this is believed to be definite. |
| discovery strategy | 17 | Claim 17 recites “the discovery strategy specifying parameters for whether and how to obtain price quotations for the at least one of a plurality of markets”; this is believed to be definite. |
| action strategy | 17 | Claim 17 recites “the action strategy specifying order processing parameters”; this is believed to be definite. |
| market characteristics | 14 | Claim 14 recites “information provision and order processing characteristics of the at least one of a plurality of markets”; this is believed to be definite. |
| querying selected | 19 | Claim 19 recites “querying selected ones of the plurality of markets”; this is believed to be definite. |
| active side | 23 | claim has been cancelled |
| market board | 19 | Claim 19 recites “a market status board maintained by a trading platform on which the plurality of markets executes, the market status board including price |

| claim term | claims | applicant's response |
|--------------|--------|---|
| | | quotation information from at least one of the plurality of markets"; this is believed to be definite. (Basis is at page 6, line 30 – page 7, line 5 of the specification.) |
| passive side | 23 | claim has been cancelled |

Allowance of the claims is solicited. The Examiner is invited to call the undersigned to discuss any issues.

Respectfully submitted,

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